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DATE: January 12, 2007

PTO IDENTIFIER: Application Number 09/942,426-Conf. #7416  
Patent Number

Inventor: Peter R. Horsfall

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: DICKSTEIN SHAPIRO LLP

Joseph W. Ragusa

PHONE: (212) 277-6584

Attorney Dkt. #: E3331.0525

PAGES (Including Cover Sheet): 19

CONTENTS:

- One Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page)
- Amendment in Response to Non-Final Office Action (15 pages)
- Payment by credit card. Form PTO-2038 is attached (1 page)
- Charge \$670.00 to credit card
- Amendment Transmittal Form (1 page)

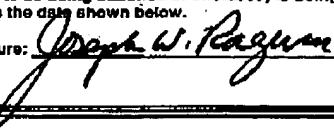
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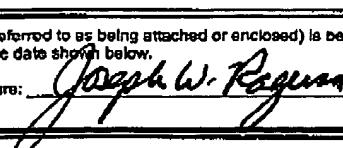
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AMENDMENT TRANSMITTAL LETTER				Docket No. E3331.0525	
Application No. 09/942,426-Conf. #7416	Filing Date August 29, 2001	Examiner Olabode Akintola	Art Unit 3624		
Applicant(s): Peter R. Horsfall					
Invention: ELECTRONIC TRADING SYSTEM					
TO THE COMMISSIONER FOR PATENTS					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
Total Claims	23	- 20 =	3	X \$50.00	\$ 150.00
Independent Claims	6	- 4 =	2	x \$200.00	\$400.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Extension for response within first month \$120.00					
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b> \$670.00					
<input checked="" type="checkbox"/> Large Entity		<input type="checkbox"/> Small Entity			
<input type="checkbox"/> No additional fee is required for this amendment.					
<input type="checkbox"/> Please charge Deposit Account No. 50-2215 in the amount of \$. A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ to cover the filing fee is enclosed.					
<input checked="" type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 50-2215 as described below.					
<input type="checkbox"/> Credit any overpayment.					
<input type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
Dated: January 12, 2007					
Joseph W. Regusa Attorney/Agent Reg. No.: 38,686					
DICKSTEIN SHAPIRO LLP 1177 Avenue of the Americas 41st Floor New York, New York 10036-2714 (212) 277-6584					
<p>I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300, on the date shown below.</p> <p>Dated: January 12, 2007      Signature:  (Joseph W. Regusa)</p>					